

Date: November 10, 2023

To  
Listing Department  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

Scrip Code: 500306  
ISIN: INE903A01025

**Sub: Intimation under Regulation 30 of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI LODR")**

Dear Sir(s)/Madam,

Pursuant to Regulation 30 of SEBI LODR, the Company is required to disclose details of action(s) taken or orders passed by any regulatory, statutory, enforcement authority or judicial body against the listed entity, subsidiary etc. as mentioned in Clause 20 of Para A of Part A of Schedule III of SEBI LODR. Accordingly, please find below the details of SEBI order dated November 9, 2023 w.r.t. inspection carried out by SEBI for the period April 01, 2020, to December 31, 2021.

The details, as required under Clause 20 of Part A of Schedule III of the SEBI Listing Regulations, read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are given under as ***Annexure - A***

This disclosure will also be hosted on Company's website viz. [www.jaykayenterprises.com](http://www.jaykayenterprises.com).

Kindly take the same on record.

Thanking you

Yours Faithfully,  
For Jaykay Enterprises Limited

Yogesh Sharma  
Company Secretary and Compliance Officer  
Membership No.: A29286



**Requisite details pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Sr. No.	Particulars	Details
1.	<b>Name of the Authority</b>	Securities and Exchange Board of India ("SEBI")
2.	<b>Nature and details of the actions taken, initiated or orders passed</b>	Securities and Exchange Board of India (SEBI) vide order no. Order/SS/RK/2023-24/29750 dated November 09, 2023 imposed a penalty of Rs. 5,00,000/- (Rupees Five lakhs only) under Section 15 A(a) of SEBI Act, 1992 ("SEBI Act") (minimum penalty for failure to furnish information, return, etc.) and Rs. 5,00,000/- (Rupees Five lakhs only) under Section 15HB of SEBI Act (minimum penalty for contravention when no separate penalty has been provided) (Total Rs. 10,00,000/- (Rupees 10 lakhs only) on the Company for violation of provisions of Regulation 7(4) of SEBI LODR Regulations and Regulation 9A(1)(b), Regulations 14(2)(h) and 18(1), of SEBI (RTA) Regulations and SEBI circulars w.r.t. instructions to Registrars to an Issue/ Share Transfer Agents in respect of the inspection carried out by SEBI for the period April 01, 2020, to December 31, 2021.
3.	<b>Date of receipt of direction or order including any ad-interim or interim orders, or any other communication from the authority</b>	SEBI order no. Order/SS/RK/2023-24/29750 dated November 09, 2023, received on November 09, 2023
4.	<b>Details of the violations/contraventions committed or alleged to be committed</b>	As mentioned above.  Further, we would like to inform you that the aforesaid violations were not intentional and malafide but circumstantial due to change in business objects of the Company from Registrar and Transfer Agent to Defence & Aerospace and Digital and Advanced Systems after the new management took over and the Company gives utmost importance to governance and compliance with applicable laws.
5.	<b>Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible</b>	Further the impact on the financial position of the Company is limited to the penalty imposed on the Company vide SEBI Order dated November 09, 2023.

